



2001-2002 DEVELOPMENT
STEWARDSHIP REPORT

› UNIVERSITY OF NOTRE DAME ‹

ABOUT THIS REPORT

Included in this report are benefactor stories which are intended to illustrate the integral impact that charitable contributions have had on Notre Dame. These articles are an important part of the 2001–02 Stewardship Report because they attempt to show in a concrete way how the generosity of our donors has strengthened the University.

In addition, pictures of scholarship and fellowship recipients can be found throughout the publication—they serve as a reminder of our unique educational mission. The donors and students spotlighted on the pages to follow are only a few of those who make up the extended Notre Dame family. We hope you enjoy the report.

TABLE OF CONTENTS

- 1 Letter from the President
- 2 2001–02 Summary

PROGRESS TOWARDS THE PRIORITIES

- 4 Endowed Chairs and Directorships
- 6 Financial Aid
- 8 The University Libraries
- 10 Endowments for Excellence

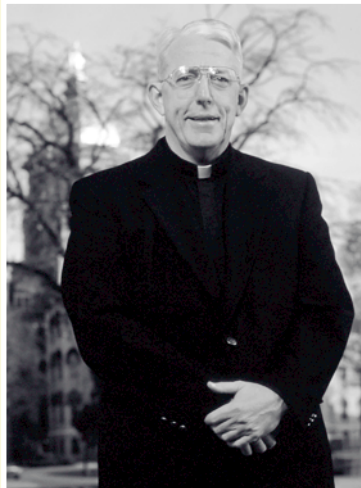
DEVELOPMENT PROGRAMS

- 12 Regions
- 14 Annual Fund
- 16 Planned Giving
- 18 Corporate Relations
- 19 Foundation Relations
- 20 The Obligation of Stewardship

> FROM THE PRESIDENT <

In late September, Notre Dame’s Center for Ethics and Culture sponsored an ecumenical conference of scholars, students, professional people and social activists, which was held in McKenna Hall on campus.

The conference, entitled *From Death to Life: Agendas for Reform*, focused on proposals for developing what Pope John Paul II has called the “culture of life” and was organized by Professor David Solomon, W. P. & H. B. White Director of the Center for Ethics and Culture. All of this is of significance for several reasons. First, participants contributed some 85 papers proposing institutional reform in the Church, medicine, education, politics, commerce, the family, the arts, and the natural sciences. Topics ranged from the promises and dangers of technological advancement to the current crisis in the Catholic priesthood, and much more that is relevant to current issues and national concerns.



Second, this was not simply a conference for faculty members and outside scholars. Students also participated (two presented papers) and in the process gained much knowledge and experience in the workings of a scholarly symposium. Discussions were lively, and I am confident the publications that come out of the conference will be subject to serious review by several constituencies: educators, policy makers, corporate executives, Catholic bishops, and others.

Third, it struck me during the course of the proceedings that all of it was made possible by our benefactors: the conference itself, which was funded by the Maas Family Endowment for Excellence; the building, renamed for the former chairman of the board of trustees Andrew J. McKenna

for his generosity to Notre Dame; the directorship of the Center for Ethics and Culture, underwritten by the W. P. & H. B. White Family Foundation. At every step, generous outside support advanced what we were doing.

Finally, I should say that the conference is representative of what transpires on campus, beyond the classroom, on a routine basis. Scarcely a week goes by that doesn’t see several outside lecturers or the mounting of exhibits, seminars, national and international symposia. These activities and

events profoundly enrich the intellectual experience of our students and faculty and expand the University’s influence across academia. And almost without exception, such activities are underwritten by the generosity of Notre Dame’s close friends and benefactors.

We are deeply grateful to all who contribute, sometimes with only modest recognition, to the busy and vibrant enterprise that is Notre Dame.

Edward A. Malloy, ...

REV. EDWARD A. MALLOY, C.S.C.

President

> 2001-02 SUMMARY <

I

n a year of difficult economic conditions, the University still gathered a very respectable \$126.7 million in charitable contributions. Although down by 10.8% from the previous year's record breaking total of \$142 million, the 2001-02 amount represents the fourth largest total raised in the University's history.

Other fund raising indicators remained solid in the face of a stiffening economy... undergraduate alumni participation was healthy as 49.1% made some type of gift to Notre Dame. There were 50,199 total donors in 2001-02 of which 41,883 were alumni. Matching gifts accounted for \$3,492,693 with a total of 5,801 gifts being matched, placing Notre Dame among the top in the country in both categories.

Essential to any institution's fund raising would be its ability to secure high end, leadership contributions. At Notre Dame, these are defined as those gifts of \$100,000 or more. This past fiscal year, 166 donors made gifts of at least \$100,000—with 17 of those contributions in excess of \$1,000,000.

Equally critical would be gifts received through the Notre Dame Annual Fund (NDAF)—the University's mass appeal effort aimed at alumni, parents and friends of Notre Dame. Just under \$23.1 million was received in fiscal '02 through the NDAF. This included \$11.8 million from the Annual Fund's Sorin Society—the single largest source of unrestricted income for the University.

Estate or planned gifts continue to play an increasingly important role in the overall strategy of development. This past

year over \$35 million was received through a variety of planned giving instruments—an exceptional number when compared to total dollars raised.

Corporate and Foundation giving remained solid with \$39.3 million raised through the combination of corporations and private foundations. Included in this amount is the \$3.5 million in corporate matching gifts. Foundation support includes both national foundations and private, family foundations.

A fifth national program making very steady progress, is that of Law School Advancement (LSA). Designed to boost support of the Notre Dame Law School, the LSA office posted fund raising gains on all fronts and collected in excess of \$4.7 million for various law school programs in '01-'02. The Order of St. Thomas More, comparable to the Sorin Society but for support only of the Law School, reached new highs with 605 members.

In summary, the University, although faced with new economic challenges in 2001-2002, emerged with very strong charitable support. No particular area of its fund raising was severely hampered while specific programs such as planned giving and law school advancement had very strong results. It is difficult to forecast a more positive outcome for '02-'03—however, the long term certainly bodes well for Notre Dame.



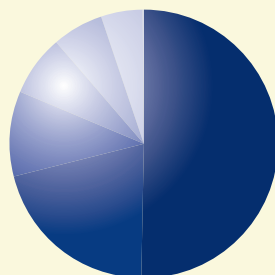
DANIEL G. REAGAN

*Assistant Vice President, University Relations
and Executive Director, Development*

SOURCES OF SUPPORT

\$126,754,875

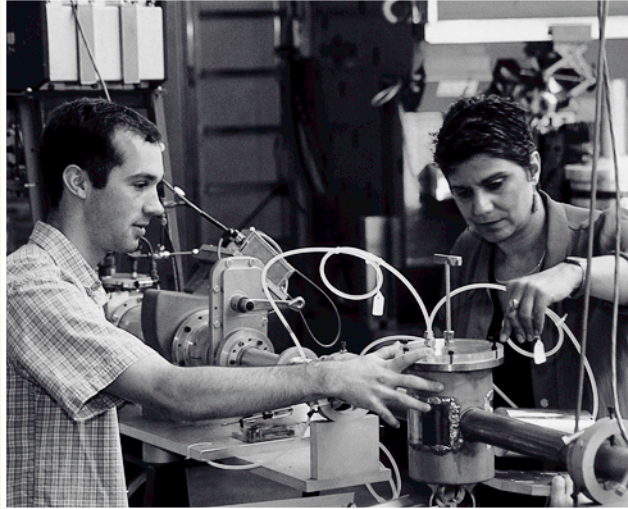
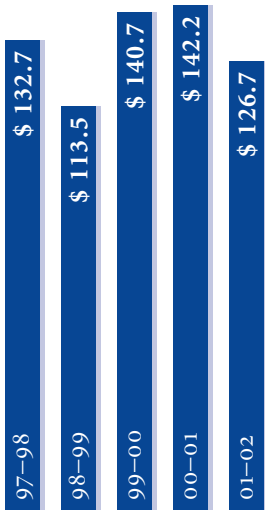
2001-02



Alumni	\$63,766,935
Foundations	\$26,326,640
Corporations <i>Including Matching Gifts</i>	\$13,000,920
Non-Alumni Parents	\$9,290,610
Other Organizations	\$7,822,497
Other Individuals	\$6,363,339
Holy Cross and Other Religious Organizations	\$183,934

**TOTAL DOLLARS
RAISED**

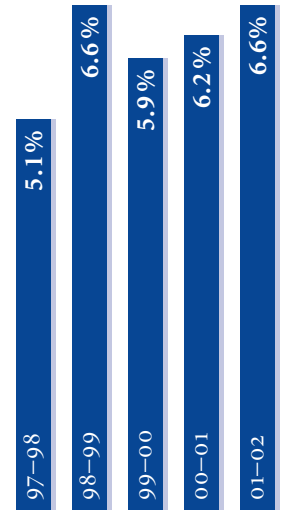
By Fiscal Year



Dr. Ani Aprahamian and student

**COST OF
FUNDRAISING**

By Fiscal Year



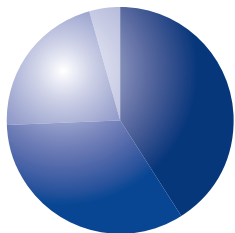
FINANCIAL HIGHLIGHTS

In a year which will always be associated with the tragedies of 9/11 and a declining economic climate, the Notre Dame Development Department was able to maintain for the fifth consecutive year the trend of raising over \$100 million. As a result of the generosity of 50,199 contributors, \$126.7 million was raised during the 2001-02 fiscal year.

EXPENDABLE GIFTS

\$43,565,239

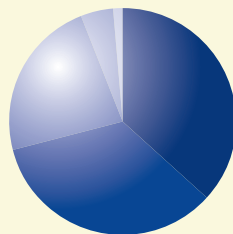
2001-02



- Unrestricted \$17,841,103
- Other Academic Support \$14,551,048
- Scholarships \$9,255,266
- Fellowships \$1,917,822

ENDOWMENT GIFTS — \$70,635,382

2001-02

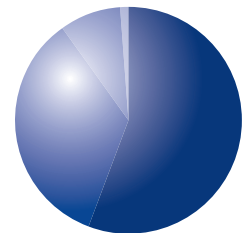


- Undesignated Endowment \$25,929,165
- Other Endowment *Academic programs, chairs, institutes, etc.* \$24,199,892
- Scholarships \$16,274,080
- Fellowships \$3,271,359
- University Libraries \$960,886

GIFT DISTRIBUTION

\$126,754,875

2001-02



- Endowment \$70,635,382
- Expendable Funds \$43,565,239
- Plant Fund \$11,054,204
- Gifts In Kind \$1,500,050

› ENDOVED CHAIRS ‹
AND DIRECTORSHIPS



D

istinguished faculty not only bring prestige to Notre Dame—they improve the quality and standing of our departments and

colleges and help attract the brightest and most imaginative students. Because endowed professorships and directorships are supported from invested funds and not operating monies, they remove some of the most costly faculty positions from dependence on income such as tuition and



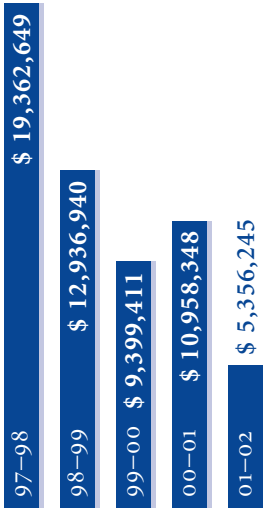
tie them to funds that can appreciate over time and survive inflation. Notre Dame benefactors have generously responded to the University's call for support of this important priority contributing \$5,356,245 in 2001–02.

Above: Students reflecting at Saint Mary's Lake

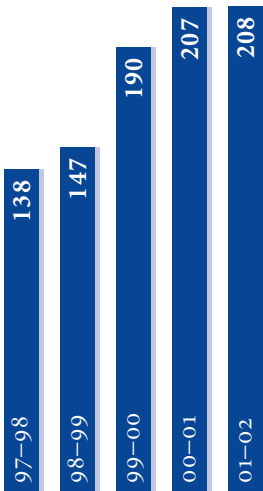
“MY NOTRE DAME EDUCATION ISN'T JUST FOR ME—IT'S FOR MY FAMILY, MY FRIENDS,
AND ALL THOSE PEOPLE WHO HAVE TOUCHED MY LIFE.” — SON NGUYEN '03

CASH GIFTS TO ENDOWED CHAIRS

By Fiscal Year



GROWTH IN ESTABLISHED ENDOWED CHAIRS AND DIRECTORSHIPS



TWO O'DONNELL CHAIRS IN IRISH STUDIES

The Thomas J. and Kathleen M. O'Donnell Chair in Irish Studies was established by Tom and Kathleen O'Donnell of Naperville, Illinois in 1997 to honor their heritage through funded explorations of Irish culture and arts. A further motive for the gift was the belief of Tom's parents, Patrick and Johanna, that through education everyone has the opportunity to realize the promise of the American dream. In 2000, Tom and Kathleen established a second chair to further strengthen this expanding discipline—the Patrick B. O'Donnell Chair in Irish Studies. This second chair honors both their son Patrick, a 1999 Notre Dame graduate, and Tom's father.

A 1961 graduate of Notre Dame, Tom was Managing Director and General Partner of Oppenheimer & Co. He has served on the University's Advisory Council for the College of Arts and Letters and the Ireland Advisory Council since 1997. Having been very supportive of the University, the O'Donnells have also endowed a scholarship at Notre Dame. In addition to Patrick, who is currently enrolled in Notre Dame's MBA Program, Tom and Kathleen have five other grown children. Their daughter Kerry and son-in-law Scott both earned their MBA degrees from the University in May of 2002.

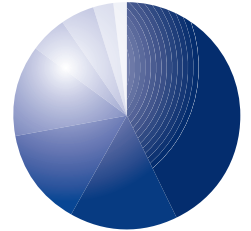
The Thomas J. and Kathleen M. O'Donnell Chair in Irish Studies typically funds a visiting scholar in Irish Studies for a semester at a time. In the past three years, it has enabled Notre Dame to bring several world-known Irish scholars to campus, including Luke Gibbons, John Kelly and Breandán É. Buachalla. Future plans include bringing Maud Ellmann, an expert on Irish literature from Cambridge University and Peter Harbison, a world expert on the High Cross and early Irish religious art from The Royal Irish Academy, to campus.



Tom and Kathleen O'Donnell

DISTRIBUTION OF ESTABLISHED ENDOWED CHAIRS

As of June 30, 2002



- College of Arts and Letters
89 Chairs

- Mendoza College of Business
32 Chairs

- College of Science
29 Chairs

- College of Engineering
27 Chairs

- Law School
11 Chairs

- Directorships
10

- Other
6 Chairs

- School of Architecture
4 Chairs

› FINANCIAL AID ‹
SCHOLARSHIP AND FELLOWSHIP ASSISTANCE



T

he University’s financial aid endowment of \$685,304,049 is comprised of nearly 1400 scholarships funds. During fiscal year 2001–02, benefactors

contributed \$25,529,346 for endowed and expendable scholarships. Gifts for endowed and expendable fellowships reached the \$5,189,181 mark for the same time period. Numerous Notre Dame alumni and friends supported the vital area of financial aid for students.

Because of Notre Dame benefactors, the University is able to meet the full demonstrated financial needs of all admitted and current students. A typical financial aid package includes scholarships, grant assistance, student loans and part-time campus work study. The current economic climate has made a significant impact on our students and their families, and consequently on their financial need. The average scholarship award for the Class



of 2006 was over \$15,200. This represented nearly an 18% increase from the previous year’s packages. Even with the additional aid, Notre Dame students still graduate with an average need based debt of \$17,000. The continued support of scholarships and fellowships during these challenging economic times will help the University meet its commitment to students as they begin, continue and conclude their Notre Dame educational experience. The financial numbers documented here reflect the individual sacrifices that our benefactors have made to ensure our students can obtain a Notre Dame education.

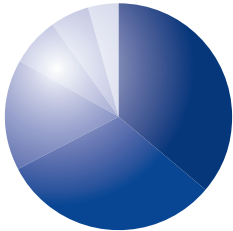
Above: Scholarship and Fellowship recipients with Fr. Malloy at the Scholarship/Fellowship Recognition Weekend 2002

“NOTRE DAME IS THE PERFECT FIT FOR ME AND I CAN’T IMAGINE MYSELF ANYWHERE ELSE.” — MARIA PEREZ ’03

**SOURCES OF AID:
UNDERGRADUATE**

\$116,518,695

2001-02 Total



- University-administered Scholarships
\$42,094,344

- Loans
\$36,413,352

- Grants
\$18,356,053

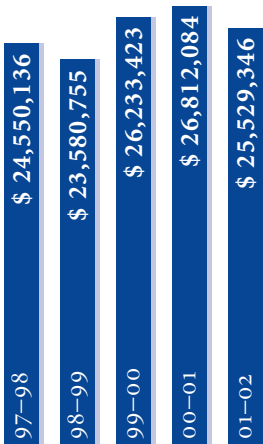
- Other Scholarships
\$8,077,607

- ROTC
\$6,500,322

- Campus Employment
\$5,077,017

**UNDERGRADUATE
SCHOLARSHIP GIFTS**

By Fiscal Year
(Expendable and Endowed)



**THE LESSONS OF
HIGHER LEARNING**

Irma Loya Tuder established the Miguel and Anita Loya Endowed Scholarship in honor of her parents. The Loyas immigrated to El Paso, Texas from Mexico in pursuit of an education for their children. Although Miguel had only completed the sixth grade and Anita the eighth grade, both had a passion for education—a passion they kindled in their seven children. All six of Irma’s siblings graduated from college, with five of them earning advanced degrees. Hanging on a wall of the Loya home, are the diplomas Miguel requested from each of his children and next to the diplomas is the letter from Father Malloy announcing the creation of this scholarship in their honor.

The Miguel and Anita Loya Endowed Scholarship will be given to Latino-American students. Irma’s success would not have been possible without higher education and financial aid. She wants to provide similar opportunities to future scholars.



Miguel and Anita Loya

Irma, a first generation Mexican-American, came to Notre Dame because of its academic reputation, size and religious affiliation. Within a spiritual framework, the University offered Irma an opportunity to study far away from home, to explore new vistas and to meet people from all over the world. After her freshmen year, finances became a major hurdle. A family from South Bend that Irma had met put her in touch with the League of United Latin American Council. Through the generosity of the League and other financial aid, she was able to complete her degree. After receiving her B.A. in Accounting in 1984 from Notre Dame, she worked for KPMG, PricewaterhouseCoopers and Azar Nut Company. A certified public accountant, Irma started her own company, Analytical Services, Inc in 1992. Analytical Services, Inc. specializes in management and technical services for the United States government. With sixteen locations in the United States and Puerto Rico, the company has contracts with the United States Department of Defense, the United States Army, the United States Air Force and NASA, as well as other entities.

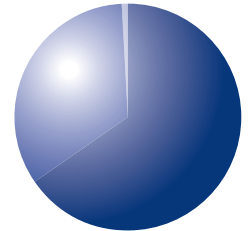
Irma is active in the Alzheimers Association, the Children’s Literacy Council, the American Heart Association, the Greater Huntsville Rotary Club and the Women’s Economic Development Council. In 2002 she shared her personal Notre Dame and corporate experience as a guest speaker for the Mendoza College of Business Center for Entrepreneurial Studies. Her proud parents attended her presentation.

She and her husband, Jack live in Decatur, Alabama with their two sons, Andrew and Eric.

**SOURCES OF AID:
GRADUATE**

\$58,733,723

2001-02 Total



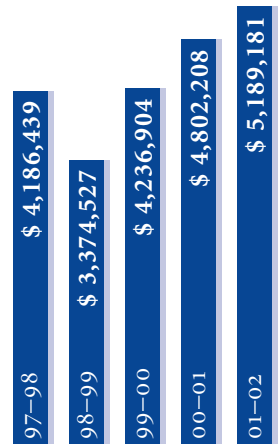
- Fellowships and Grants
\$38,379,202

- Loans
\$19,843,969

- Work Study
\$510,552

**GRADUATE
FELLOWSHIP GIFTS**

By Fiscal Year, includes MBA and Law
(Expendable and Endowed)



› THE UNIVERSITY LIBRARIES ‹ AND THE KRESGE LAW LIBRARY



A

According to Jennifer A. Younger, the Edward H. Arnold Director of University Libraries, “A great Catholic University must include a

great library, one focused on today and tomorrow’s scholarly needs.” The University Libraries is just that. Now ranked 47th among the top 100 research libraries in the country, the University Libraries continue to make progress in their efforts to provide students and faculty with the necessary resources for learning, teaching, and research.

The \$1,204,639 raised over the past year has enabled the libraries to present users with more services, easier access to much needed information and assistance through librarians via e-mail and live chat software. With eight new named funds, the libraries witnessed growth in areas of Irish



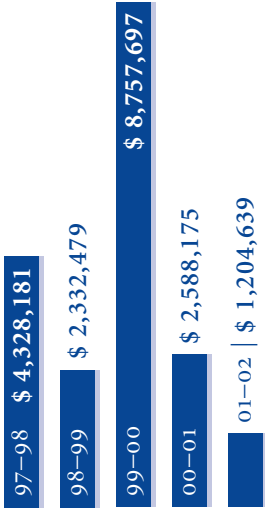
and Medieval studies, and also gained support for general needs and operations. Document delivery systems for the Notre Dame faculty were implemented and the library as a whole clearly defined its programs areas in anticipation of future needs and technological advancements. As we look forward, the University Libraries will need to expand its scope of activities through library collaboration while reaching out to the campus community and exploring new technology to maintain an appropriate campus and library infrastructure.

Above: Theodore M. Hesburgh Library

“... THE NOTRE DAME BIOLOGY DEPARTMENT IS TOP QUALITY, WITH EXCELLENT FACULTY MEMBERS AND A STELLAR RECORD OF PREPARING STUDENTS FOR MEDICAL SCHOOL.” — ANN KELLOGG '03

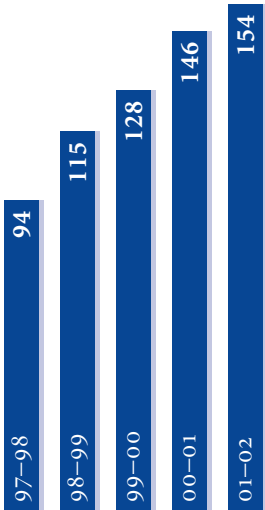
**LIBRARY
CASH GIFTS**

By Fiscal Year



**TOTAL NAMED
LIBRARY FUNDS**

By Fiscal Year



**SARJIT SINGH, M.D.
ENDOWMENT FOR INTERNATIONAL
PEACE STUDIES**

In memory of a wonderful husband and father, Iona Singh and her son Raja, a 1991 graduate of the University, created the Sarjit Singh, M.D. Endowment for International Peace Studies. Born in India, Sarjit Singh earned his medical degree from King Edward Medical College, Indore, India. Dr. Singh, who specialized in Cardiology and Internal Medicine, was affiliated with the McLaren Regional Medical Center in Flint, Michigan. There he served as chairman of the Cardiology Department and the Critical Care Committee as well as director of the Electrocardiography Department. Throughout his 40 year medical career, Dr. Singh was a member of various professional organizations such as the American Medical Association, the Council of Clinical Cardiology, the Michigan State Medical Society and the American Society of Internal Medicine. He also served as a member of the United Nation's World Health Organization and was honored by the Michigan International Council for his contributions to world understanding, development and peace. An active thoroughbred horseman, he also enjoyed boating, particularly on the Great Lakes and in the Caribbean.

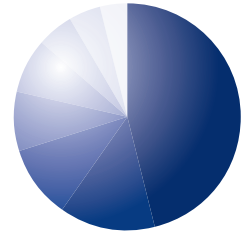


His wife, Iona (Rumble) Singh, graduated from A.B. Hepburn School of Nursing in 1947. Their son, Raja, earned a bachelors of business administration in finance and business economics at the University, and completed a concentration in Peace Studies. He also holds a master of business administration from Harvard University.

Appreciated for its support of teaching and research in numerous departments throughout Notre Dame as well as the Helen Kellogg Institute for International Studies and the Joan B. Kroc Institute for International Peace Studies, the Singh Endowment is allowing the University Libraries to acquire much needed resources from the Middle East, Central and Eastern Europe, and the Far East. The endowment has allowed for subscriptions to foreign newspapers and current events journals, as well as the purchase of government and international documents.

**NAMED LIBRARY
FUNDS BY
ACADEMIC AREA**

as of June 30, 2002



- Arts and Letters
71 Endowments

- Unrestricted / General
Library Endowment
21 Endowments

- Kresge Law Library
16 Endowments

- Other
13 Endowments

- Mendoza College
of Business
12 Endowments

- College of Science
8 Endowments

- College of Engineering
7 Endowments

- School of Architecture
6 Endowments

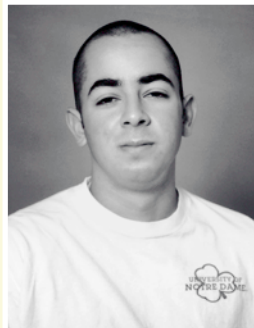
> ENDOWMENTS FOR EXCELLENCE <



E

ndowments for Excellence are permanently established funds designed to provide academic enhancements to a particular College, academic department,

institute or research center. Because of the generosity of numerous University benefactors, 108 Endowments for Excellence have now been established (as of June 30, 2002). These funds can be found in all four of the colleges, the Law School and the School of Architecture as well as in many of Notre Dame’s centers and institutes. They are providing our students and faculty with



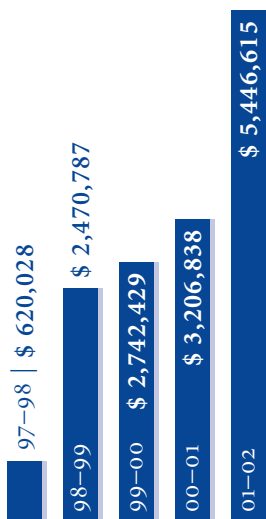
exceptional opportunities including, but not limited to, guest speakers, research experiences, learning initiatives, and travel allotments allowing students to present research findings at professional conferences. During the 2001–02 fiscal year, \$5,446,615 was raised for various Endowments for Excellence.

Above: Notre Dame Baseball team at College World Series

“THE SCHOLARSHIP HAS ALLOWED ME TO FULFILL A LIFETIME DREAM OF GRADUATING FROM NOTRE DAME.” — ABRAM PINON '03

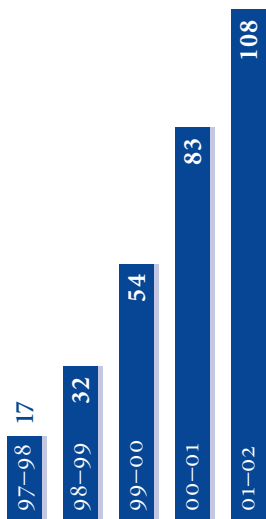
CASH GIFTS TO
ENDOWMENTS FOR
EXCELLENCE

2001-02



ENDOWMENTS FOR
EXCELLENCE

By Fiscal Year



THE GIBNEY NOTRE DAME FOLK
CHOIR ENDOWMENT FOR EXCELLENCE

The Gibney Notre Dame Folk Choir Endowment for Excellence was established by Richard L. Gibney, M.D. and his wife, Edith in 1998. Richard, a nephrologist, graduated from Notre Dame in 1968 with a B.S. in preprofessional studies. After receiving his medical degree from Creighton University, he was an intern, resident and fellow in nephrology at the University of Texas Health Sciences Department of Medicine in San Antonio. He is president of Waco Nephrology Associates. In 1996 Richard was appointed to the University's Advisory Council for the College of Science. Active in civic and hospital activities, the Gibneys have four children: Ellen ND'98, Martha ND'00, Rosemary ND'04 and a son Brendan still at home. Richard and Edith, a 1970 Saint Mary's College alumnus, first heard the Notre Dame Folk Choir perform during the 1994 freshmen orientation. Through the music, the Joyce Center was transformed from a basketball arena into a holy and sacred place. Moved by the music, they wanted to support a program that impacted people's lives in such an extraordinary manner. Ministering through music, the program serves as an example of the Notre Dame's unique educational experience, a



Notre Dame Folk Choir

scholarly journey with a spiritual center. The Gibneys have supported other initiatives at Notre Dame, including the Mathematics Department, Campus Ministry and financial aid.

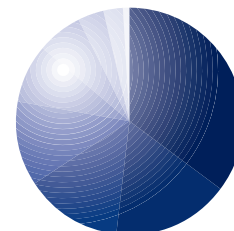
The Gibney Notre Dame Folk Choir Endowment for Excellence has had a tremendous impact on the Folk Choir's exceptional musical program. The Gibney Endowment has provided the much needed personnel for the day to day operations of the choir. A team of four work-study students, two librarians, a tour coordinator and a student business manager assist with the daily operations of this internationally acclaimed ensemble of sixty-two members. The staff prepares music folders, orders recordings, balances the ledgers and arranges national and international tour schedules and accommodations. Because of the Gibney Endowment, the Notre Dame Folk Choir is able to offer most of their touring concerts free of charge. This allows the schools and parishes they visit to use the Choir's performances as fund raising activities.

Also, the Gibney Endowment has allowed for the refurbishing and repair of the musical equipment and instruments of the Folk Choir. Known for their great variety of musical styles—most prominently African and Irish repertoire—the choir must also maintain the many percussion instruments that make these songs come to life for their assemblies.

The Gibney Notre Dame Folk Choir Endowment for Excellence helps the choir's ministry of music reach far beyond the boundaries of campus into the wider community of the world.

DISTRIBUTION OF
ESTABLISHED
ENDOWMENTS FOR
EXCELLENCE

as of June 30, 2002



- College of Arts & Letters
38 Endowments

- Institutes and Centers
18 Endowments

- Mendoza College of Business
15 Endowments

- Other
13 Endowments

- College of Engineering
9 Endowments

- College of Science
7 Endowments

- Law School
4 Endowments

- Athletics
3 Endowments

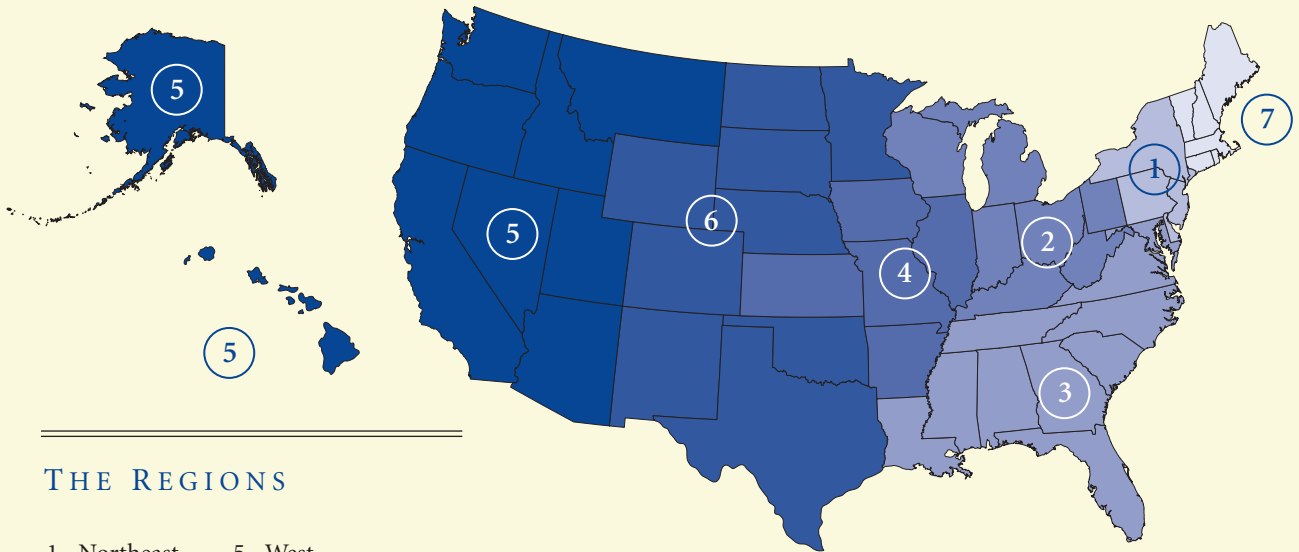
- School of Architecture
1 Endowment

> DEVELOPMENT PROGRAMS < THE REGIONS

Notre Dame’s development office is organized into seven regions spread throughout the country, with offices in Atlanta, Chicago, Los Angeles, New York and suburban Boston. These five satellite offices and the two regional offices housed on campus, which are composed of seven regional directors and eight assistant regional directors, are responsible for soliciting leadership pledges and gifts. In the 2001–02 fiscal year, they raised \$31.9 million and were responsible for 76 new leadership commitments.



“IT HAS BECOME MY HOME AND I LOVE IT HERE.” — CARRIE PETERS ’04



THE REGIONS

- 1 Northeast
- 2 Great Lakes
- 3 Southeast
- 4 Midwest
- 5 West
- 6 Plains/Southwest
- 7 New England States

THE LEGACY OF A NOTRE DAME EDUCATION



Alfred R. Abrams on campus in the early 1920s

Alfred Abrams had an unusual dream for a Jewish American boy growing up in the early 1900s—he wanted to go to Notre Dame. When he entered the University in the fall of 1916, he had barely enough money to complete the first semester. No sooner had he begun his college education than World War I interrupted his studies and Alfred headed off to serve his country in the United States Army. When he returned to the University, the Holy Cross Fathers came to the rescue, helping Alfred find work to cover the cost of his tuition. Through a combination of jobs, including construction of the rail line which runs along the northern border of campus, Alfred earned enough money to continue his studies and graduate from Notre Dame in 1921. The Abrams family has never forgotten the benevolence of Notre Dame and the Holy Cross Fathers.

Ed Abrams, Alfred's son, dreamed as a young boy of following in his father's footsteps and attending Notre Dame. That dream was realized when Ed entered Notre Dame as a student. History repeated itself though, and Ed's education was interrupted by war. But, determined to pursue his dream, Ed returned to Notre Dame after World War II and graduated in 1950.

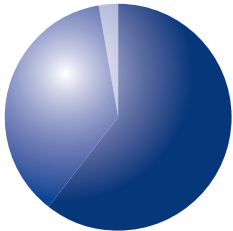
Ed and Ann, his wife of nearly 50 years, saw the Abrams legacy continue when their son Andy graduated from Notre Dame in 1983. Ed and Ann have two other children, Alan and Laurie, and now 7 grandchildren. So the next generation of Abrams is almost ready to take their place at Notre Dame.

Through all of these times, the love and commitment the Abrams family has for Notre Dame has shined thru, in their service to the University and in their support of so many different Notre Dame priorities. Through their extraordinary generosity, Ed and Ann have created a chaired professorship, library collection, scholarship, fellowship, endowment for excellence, and a Snite Museum of Art collections fund. Their affect on Notre Dame has been and continues to be significant in the lives of so many students—many of whom also started out with the same simple dream of coming to Notre Dame.

The Abrams family story is truly one of God, Country, and Notre Dame.

GIFT INCOME BY GIFT TYPE \$126,754,875

2001-02



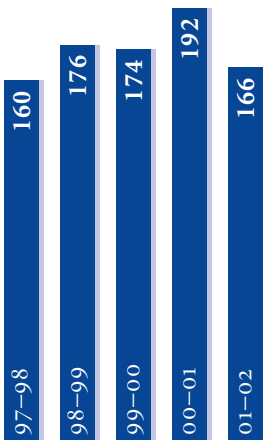
- **Outright Gifts**
Cash gifts that are not connected to a pledge.
\$76,705,720

- **Pledge Payments**
Cash gifts directed against existing commitments.
\$46,556,462

- **Matching Gifts**
A corporate or foundation cash gift which matches an employee gift.
\$3,492,693

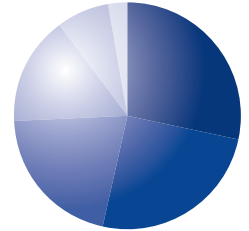
LEADERSHIP GIFTS \$100,000 and above

As Received by Fiscal Year



GIFT INCOME BY PROGRAM \$126,754,875

2001-02



- **Planned Giving**
\$35,931,186

- **Other Gifts and Pledge Payments by Individuals**
\$31,893,168

- **Foundations**
\$26,326,640

- **Annual Fund**
Excludes Matching Gifts
\$19,602,961

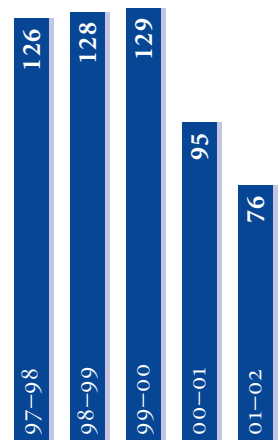
- **Corporations**
\$9,508,227

- **Matching Gifts**
\$3,492,693

LEADERSHIP PLEDGES

\$100,000 and above

As Received by Fiscal Year



› THE ANNUAL FUND ‹



T

he Annual Fund is comprised of four separate components: the Direct Mail program, the Matching Gift program, the Development Phone Center

and The Edward Frederick Sorin Society. While each of these programs plays a distinct role in the success of the Annual Fund, all operate with the shared goals of increasing alumni participation and encouraging annual unrestricted giving.

The Direct Mail program reaches out to all alumni, parents and friends of Notre Dame through quarterly letters containing important University information. This correspondence provides regular and convenient opportunities for those wishing to contribute.

The Matching Gift program seeks to utilize the opportunity



for matching funds provided to employees by many generous companies. Benefactors whose employers offer matching charitable contributions can increase the impact of their gifts with no additional out-of-pocket expense.

The Development Phone Center enables personal connections with alumni, parents and friends. Notre Dame students strive to raise pledges and encourage participation in giving on behalf of the Annual Fund and its Reunion Giving Program.

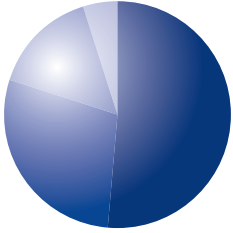
Above: 9/11 Mass on South Quad

“WITHOUT THE SUPPORT OF GENEROUS ALUMNI THAT HAVE SHARED IN THE TRANSFORMING POWER OF NOTRE DAME, I WOULD NOT HAVE BEEN ABLE TO SHARE IN THE BLESSINGS OF THIS PLACE.” — JASON KLOCEK '03

ANNUAL FUND

\$23,095,654

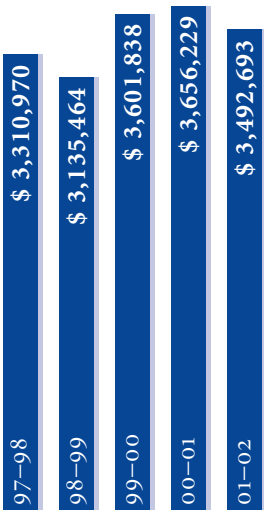
2001-02



- Sorin Society
\$11,863,751
- Direct Mail
\$6,606,113
- Matching Gifts
\$3,492,693
- Phone Center Pledge Payments
\$1,133,097

MATCHING GIFT TOTALS

By Fiscal Year



GIVING SOCIETIES



The University of Notre Dame has three distinguished giving societies —The Edward Frederick Sorin Society, The Order of St. Thomas More and The John Cardinal O’Hara Society.

Named for the Notre Dame’s founder, **The Edward Frederick Sorin Society**, was established in 1976 to recognize alumni, parents, and friends of the University who have demonstrated a tangible interest in supporting and becoming more closely connected with the University’s purposes, plans and programs. Sorin Society members are recognized for contributing an annual unrestricted gift of \$1,000, while members of its Founder’s Circle are recognized for the unrestricted support of at least \$3,000 each year. Funds provided through the Sorin Society have helped the University to address several top priorities, including increased financial aid, the renovation of the Main Building and the creation of The Edward Frederick Sorin Society Chair. Michael C. Brach, the director of the annual fund, serves as the executive director of the Sorin Society, which consists of over 8,800 members.

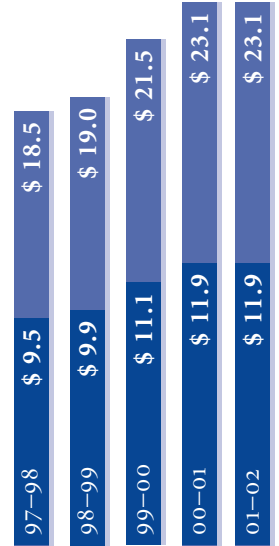
The **Order of St. Thomas More**, which is named for the patron saint of lawyers and students, was founded in 1982 to recognize those individuals who support the vision of the Law School by contributing \$1,000 or more annually. This

vision includes educating extraordinarily competent and exceedingly compassionate lawyers, conducting research of national and international significance, and creating a community of scholars —Catholics and members of other faiths—who are interested in the integration of faith and reason. These gifts may be unrestricted or designated for a specific area such as the Kresge Law Library, the Loan Forgiveness Endowment, or law fellowships. The Order of St. Thomas More and its 605 members are administered by Glenn J. Rosswurm II, director of law school advancement.

The **John Cardinal O’Hara Society**, established in 1998, honors the Mendoza College of Business’ first dean who also served as Notre Dame’s president and later became the Cardinal Archbishop of Philadelphia. The Society, which has a little over 200 members, recognizes individuals who support the founding principles of Notre Dame’s Mendoza College of Business MBA Program. Contributing \$1,000 annually, members can designate their gift as unrestricted or direct it toward one of the MBA Program’s funding priorities, including fellowship assistance, faculty development, the multimedia library, or the placement and career-counseling services. The contact person for the John Cardinal O’Hara Society is Shannon E. O’Keefe, the associate director of the annual fund.

ANNUAL FUND

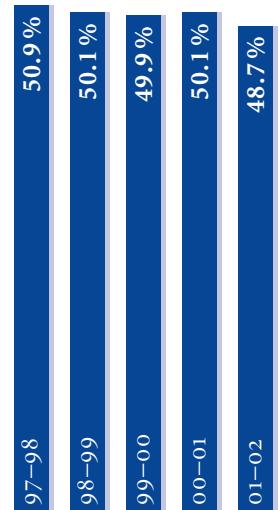
In Millions



- Sorin Society Cash Revenues
- Total Cash Revenues

ALUMNI PARTICIPATION RATE

by Fiscal Year



› PLANNED GIVING ‹



P

lanned gifts, which can take on many forms such as bequests, charitable remainder trusts, retirement plans and insurance policies, allow the University to plan confidently

for the future. These gifts are often part of the donor's overall estate plan and offer charitable estate or income tax deductions to the donor. Estate gifts may be designated for specific purposes such as scholarships, library collections and endowed chairs, or they may be unrestricted.



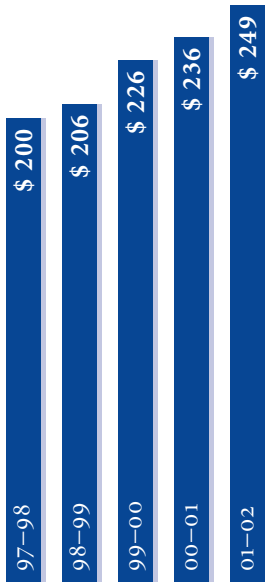
Benefactors who have included Notre Dame in their estate plans are invited to join the Stephan Theodore Badin Guild. Established in 1987, the Badin Guild has 726 members.

Above: Here Come the Irish

“NOTRE DAME IS AN INCREDIBLE PLACE TO LEARN WHAT IT MEANS TO LIVE THE RELIGIOUS LIFE OF THE HOLY CROSS TRADITION. ONLY A LUCKY FEW HAVE THE OPPORTUNITY TO BE PART OF SUCH A VIBRANT AND FAITH-FILLED COMMUNITY” — JEFFREY DROCCO '04

BADIN GUILD GIFT EXPECTANCIES

By Fiscal Year / In Millions

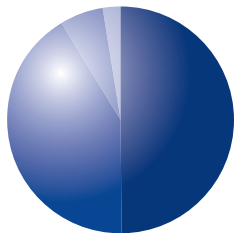


Total Membership Commitments

PLANNED GIVING TOTAL COMMITMENTS

\$16,302,741

2001-02

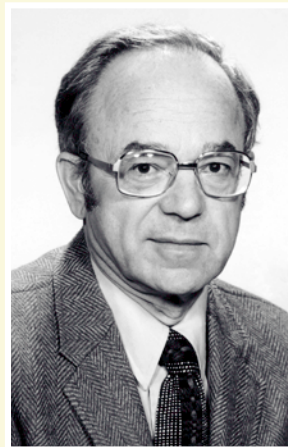


Bequests	\$8,128,056
Trusts	\$6,752,003
Insurance	\$1,008,864
Other	\$413,818

ESTATE GIFT BENEFITS THE ROME STUDIES PROGRAM

Through his estate, Francesco “Frank” Montana, the former chair of Notre Dame’s architecture program, strengthened the Frank Montana Rome Studies Scholarship Fund. In addition to financially assisting students participating in the Architecture Rome Studies Program, the fund also assists with various programmatic needs. Frank established this scholarship in 1987 and funded it through numerous outright gifts of both cash and securities during his life time. A charitable remainder trust, established in 1999, resulted in a \$1 million addition to the fund. He also donated many of his watercolors and drawings of architectural monuments to the University to be sold to bolster the scholarship.

A native of Nara, Italy, Frank studied architecture at New York University and the Graduate École des Beaux Arts in Paris. Among his famous designs are the Ecumenical Institute in Tantor and the Notre Dame Center in Jerusalem. He taught at the University from 1939 until 1947, after which time he went to work for architecture firms in South Bend and in Detroit, returning to Notre Dame to chair the Architecture Department from 1950 until 1972. While in private practice, he designed several build-



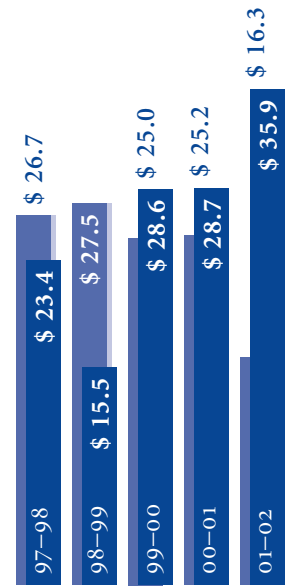
Francesco “Frank” Montana

ings at Wayne State University and later designed the Michiana Regional Airport terminal. He founded the Notre Dame undergraduate study program in Rome in 1972 and directed it from 1972 to 1975 and again from 1980 until his retirement in 1986. He was involved in the design of many campus buildings, including McKenna Hall (formerly the Center for Continuing Education), the University Club, the post office, the old Hammes Bookstore and the Center for Social Concerns. To honor his many years of service, the Francesco Montana Professorship of Architecture was established by an anonymous benefactor.

The Rome Studies Program, headquartered on the Via Monterone, has six faculty members and around 50 students who stay in Rome from September to May each academic year. During the second semester, 7–10 graduate students join the Rome Studies Program. They live at the Lunetta Hotel in Piazza Paradiso. The content of their courses emphasizes the School of Architecture’s focus on classical architecture—the design of contemporary buildings in a classical manner following the precedents of Vitruvius, Palladio and Vignola. This is the only yearlong international studies program among American university architecture schools that is required of all students. Because of Frank Montana’s vision and hard work, the Rome Studies Program was founded and as a result of his generosity this program will continue to advance in the years to come.

PLANNED GIVING SUPPORT

By Fiscal Year / In Millions

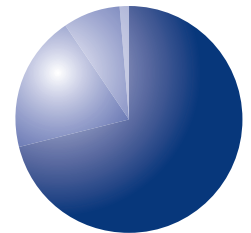


■ Cash
■ Commitments

PLANNED GIVING CASH TOTALS

\$35,937,186

2001-02



Trusts	\$25,549,206
Bequests	\$6,981,605
Other	\$2,928,882
Insurance	\$477,493

> CORPORATE RELATIONS <

The Office of Corporate Relations has the responsibility to ensure that the relationships developed between Notre Dame and the corporate sector promote mutual benefit for both the individual companies and the University. The office’s dual goal is to help companies define and achieve their objectives for a relationship with Notre Dame while securing gifts and grants in support of University aspirations and priorities.

GE & NOTRE DAME: A GREAT PARTNERSHIP

GE and Notre Dame have shared a long tradition of partnering. Both GE and the University have benefitted greatly from this relationship and exchange of resources. GE has established long-lasting relationships with Notre Dame deans, professors and students through involvement on many levels. GE representatives are speaking to classes, thus expanding students’ perspectives beyond textbooks. They are encouraging students to participate in GE internships throughout the country and are also actively recruiting students to join their \$130 billion high-tech, growth company with operations in more than 100 countries around the world. In 2001–02 alone, 34 Notre Dame students participated in internships at GE and 27 students were hired by the company upon graduation. GE’s recruiting strategy has yielded positive numbers as well as deeper relationships with faculty and administrators.

Over the years, the GE Fund has generously supported the University financially. In addition to participating in Notre Dame’s Corporate Matching Gift Program, they have helped the University to purchase science teaching laboratory equipment, supported the Developing Multi-disciplinary Learning Modules for a new Engineering Learning Center, and created the GE Fund Fellowship in Civil Engineering as part of their Faculty for the Future Program. In



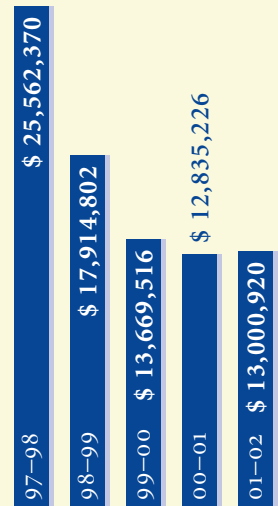
Above: Jeffrey R. Immelt, GE Chairman and Chief Executive Officer, speaking to students

addition, in 2001–02 the University received a \$300,000 commitment and a \$100,000 gift from the GE Fund to support Notre Dame’s Alliance for Catholic Education initiative, a two-year service program allowing college graduates to serve as full-time teachers in under-resourced Catholic schools across the United States.

The GE/Notre Dame relationship is an excellent example and viewed as a model of effective and meaningful corporate/university relations, greatly benefitting both entities. GE and Notre Dame: A great partnership!

CORPORATE SUPPORT

Includes Gifts-in-Kind
and Matching Gifts



› FOUNDATION RELATIONS ‹

The Office of Foundation Relations works with all Notre Dame colleges and departments to establish and build relationships with private, family and community foundations across the country. The Office seeks support for financial aid, faculty development and endowed chairs as well as a wide variety of institutional priorities and programs. The Office of Foundation Relations has enjoyed considerable growth over the years and experienced another record-breaking year in 2001–02 with a total of \$26,326,640 raised.

THE PEW CHARITABLE TRUSTS

In 2001 the University received a grant of \$2 million from The Pew Charitable Trusts to support research and other activities of the Erasmus Institute. This is the second such grant to the Institute, which received a \$1.2 million grant from Pew in 1998. The Pew Charitable Trusts support nonprofit activities in the areas of culture, education, the environment, health and human services, public policy and religion. Based in Philadelphia, The Pew Charitable Trusts make strategic investments to encourage civic engagement in addressing critical issues and effecting social change. Consistently one of the University’s top annual private foundation donors, The Trusts have granted Notre Dame in excess of \$18 million.

Established in 1997 and named in honor of the 16th-century Catholic scholar and reformer, Notre Dame’s Erasmus Institute endeavors to reinvigorate the role of the Catholic intellectual tradition in contemporary scholarship by offering residential fellowships, sponsoring a publishing program, organizing conferences and colloquia at colleges and universities across the country, and arranging summer seminars for graduate students. Although the Institute is primarily concerned with Catholic intellectual life, it also supports some research deriving from the intellectual traditions of other Christian churches, Judaism and Islam.

Only by bridging the gap between Catholic intellectual traditions and academic research can Notre Dame and other Catholic universities become both really Catholic and major contributors of knowledge.

—Erasmus Institute Newsletter

In addition to supporting the Erasmus Institute, The Pew Charitable Trusts have supported Notre Dame’s Center for Philosophy of Religion as well as the Pew Evangelical Scholars Program and the Pew Younger Scholars Program. The Pew Evangelical Scholars Program was designed to support scholarship that brings Christian perspectives to bear upon important intellectual questions in the humanities and social sciences. Included within Notre Dame’s Erasmus Institute, this residential fellowship program supports sixteen graduate students annually. The Pew Younger Scholars Program’s purpose is to encourage, direct and support young, intellectually gifted Christians to consider vocations, to be models for the Christian faith and to carry on their faith-influenced scholarship. Both undergraduate and graduate summer seminars, as well as graduate fellowships, are included in this program.



FOUNDATION SUPPORT



› THE OBLIGATION OF STEWARDSHIP ‹



A

s a reminder of our obligation to effectively steward contributions made to the Notre Dame, the University adheres to the following guidelines:

- ❖ All gifts should be acknowledged in a timely and personal manner
- ❖ A contribution accepted with a restricted purpose must be used for that purpose.
- ❖ If the University finds itself unable to utilize a contribution for its stated purpose, this should be communicated with the donor so that an alternative usage can be arranged or the contribution returned.
- ❖ Whenever feasible, and especially with endowment gifts, annual “impact” reports should be given to the donor.
- ❖ Proper recognition should always be given to the benefactor and public recognition must be approved by the donor.
- ❖ The value of any “substantial” benefits as a result of contributions must be reported to each contributor.
- ❖ Contributions will be accounted for using universally-accepted accounting standards, allowing for maximum efficiency and productivity of each gift.

Again, we thank you for your faithful support of the University of Notre Dame.

Above: Basilica of the Sacred Heart





For more information please call or write:

UNIVERSITY OF NOTRE DAME

Department of Development
1100 Grace Hall
Notre Dame, Indiana 46556-5612

574/631-5040 *telephone*

574/631-8325 *fax*